

# 1 DIIS method (Anderson mixing)

## 1.1 simple mixing

input  $(\rho^{(n)}) \rightarrow$  some calculation  $\rightarrow$  output  $(F^{(n)}) \rightarrow$  next input  $(\rho^{(n+1)})$   
In the simple mixing, the (optimized) next input is

$$\rho^{(n+1)} = (1 - \alpha)\rho^{(n)} + \alpha F^{(n)}$$

## 1.2 scheme

iteration 1,2,...,n,...  
input  $\rho^{(1)}, \rho^{(2)}, \dots, \rho^{(n)}, \dots$   
output  $F^{(1)}, F^{(2)}, \dots, F^{(n)}, \dots$

define optimized input and output  
input  $\bar{\rho}^{(1)}, \bar{\rho}^{(2)}, \dots, \bar{\rho}^{(n)}, \dots$   
output  $\bar{F}^{(1)}, \bar{F}^{(2)}, \dots, \bar{F}^{(n)}, \dots$

$$\bar{\rho}^{(n)} = (1 - \theta^{(n)})\rho^{(n-1)} + \theta^{(n)}\rho^{(n)} \quad (1)$$

$$\bar{F}^{(n)} = (1 - \theta^{(n)})F^{(n-1)} + \theta^{(n)}F^{(n)} \quad (2)$$

Minimize  $\|\bar{\rho}^{(n)} - \bar{F}^{(n)}\|^2 = \langle \bar{\rho}^{(n)} - \bar{F}^{(n)} | \bar{\rho}^{(n)} - \bar{F}^{(n)} \rangle$  to find  $\theta^{(n)}$ . Then

$$\theta^{(n)} = -\frac{\langle \Delta \mathcal{F}^{(n)} | \mathcal{F}^{(n-1)} \rangle}{\langle \Delta \mathcal{F}^{(n)} | \Delta \mathcal{F}^{(n)} \rangle}$$

where

$$\mathcal{F}^{(n)} = F^{(n)} - \rho^{(n)} \quad (3)$$

$$\Delta \mathcal{F}^{(n)} = \mathcal{F}^{(n)} - \mathcal{F}^{(n-1)} \quad (4)$$

Anderson mixing uses n-1 and n to calculate optimized n. It is straightforward to generalize this method, like

$$\bar{\rho}^{(n)} = \theta_m^{(n)}\rho^{(n-m)} + \dots + \theta_1^{(n)}\rho^{(n-1)} + \theta_0^{(n)}\rho^{(n)} \quad (5)$$

$$1 = \theta_m^{(n)} + \dots + \theta_1^{(n)} + \theta_0^{(n)} \quad (6)$$

## 1.3 Note

Equation (4) says that the breakdown occurs in the calculation if  $\mathcal{F}^{(n)} = \mathcal{F}^{(n-1)}$ , i.e.,  $\rho$  or  $F$  is the completely converged. Stop the calculation if the error is below the criterion.

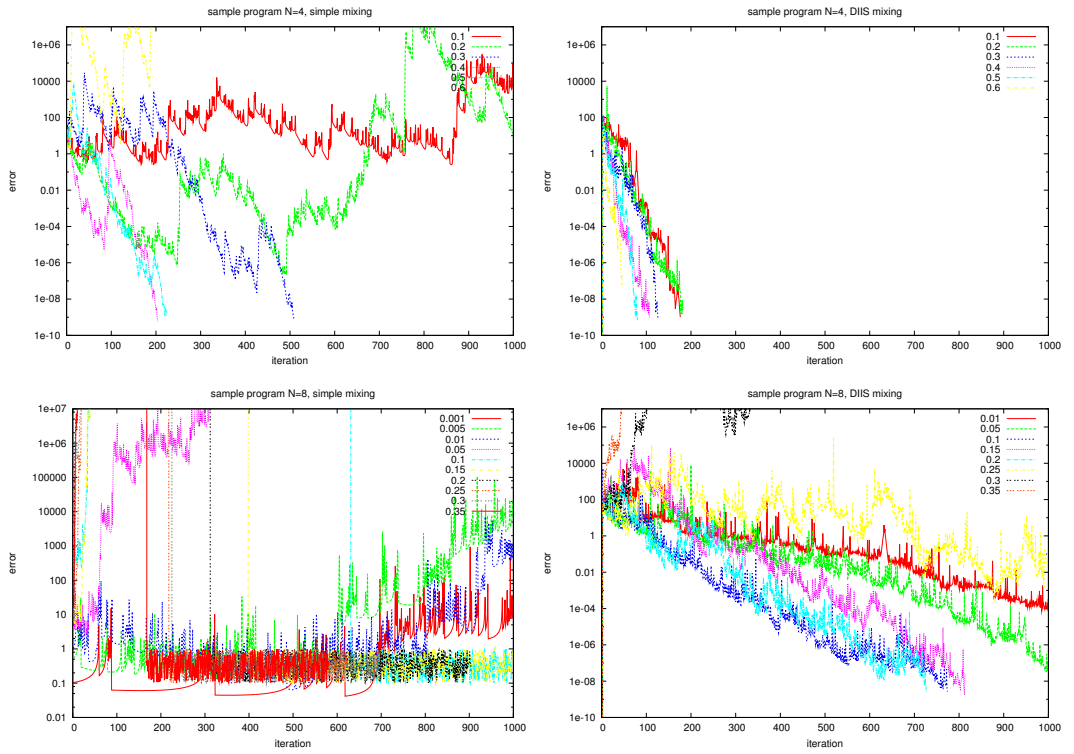


Figure 1: left: simple mixing, right: DIIS mixing. The simple mixing can not make the system of  $N=8$  converged, while the DIIS mixing can converge it.